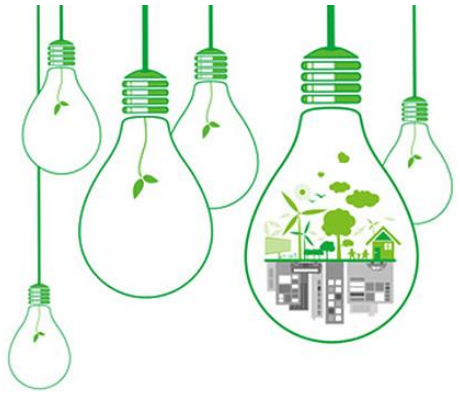


# Renewable Energy, Energy Efficiency Programme



EEA 2014-2021

Informative Web Meeting

***Wednesday 28.09.2020***

## Proposal's Evaluation Procedure



**KAPE  
CRES**

CENTRE FOR RENEWABLE  
ENERGY SOURCES AND SAVING



# GR – Energy: Interventions [1/2]

Integration of RES technologies and Energy Efficiency (EE) improvement in buildings and infrastructure of the public and wider public sector. Indicative activities:

- **EE interventions**, i.e. interventions to be applied at the building envelope, insulation installation, installation of new certified, energy-efficient replacement windows and panels, replacement of the burner/boiler/piping system with a system that allows the use of RES, replacement of the old air-conditioning system, installation of solar thermal systems or other solutions and technologies applicable to the outcomes and outputs of the programme and which will lead to the building attaining an energy efficiency standard equivalent nearly zero-energy Building (nZEB)-status.
- **Renewable Energy Actions**, such as: installation of high efficiency electricity and thermal energy co-generation systems, construction of a CHP and /or RES-produced thermal energy exploitation system for cooling generation, or other solutions and technologies applicable to the outcomes and outputs of the Programme and which will lead to the building attaining an energy efficiency standard equivalent nearly zero-energy Building (nZEB)-status.



# GR – Energy: Interventions

Interventions in a building or buildings should mandatorily upgrade their energy efficiency to Class B+, A', A+', or in nearly zero energy buildings,, according to KENAK (Regulation on the Energy Performance of Buildings). Their current classification should be evidenced by the Energy Performance Certificate (EPC) submitted along with the proposal. The immediate target groups of the new programme are the following:

- ***Building and Infrastructure Users:*** *Includes all employees working in the buildings and users of the buildings supported. Emphasis will be given to young users (children, pupils, young people aged 18-30), as the Programme will give the “active community” the opportunity to become familiar with modern RES-EE technologies and create environmental awareness. The Programme will also demonstrate to new users the ways in which modern technologies can substantially improve living standards and help protect the environment.*
- ***Building and Infrastructure Visitors / Trainees:*** *Visitors of the buildings / infrastructure are a target group that will also experience the benefits of RES / EE applications, ppl. trained in energy efficiency and renewable energy, ppl. reached by awareness campaigns.*

# Proposal's Evaluation



## The Evaluation of Project Proposals

✂ aims to ensure the *typical and substantial documentation of the adequacy* of each Proposal in relation to the *objectives and the procedures* of implementation, monitoring, management and auditing of the *EEA FM 2014-2021 Programme*.

✂ comprises the following 2 stages:

**Stage A: Eligibility and administrative verification** of the Project Proposals

**Stage B: Assessment of the Project Proposals** based on the Evaluation Criteria

✂ The evaluation process is supervised by the **Executive Selection Team** during both stages of the process.

# Stage A: Administrative and eligibility verification

## A: Administrative Criteria:

✈ All required documents of the Project Proposal were *submitted*.

## B: Eligibility Criteria: Eligibility of the **Project Promoter**

- Article 4 of the Call and Article 7.2 of the EEA-FM Regulation and any restrictions determined in the Programme Agreement;
- Article 7.2 of the Management and Control System and any restrictions determined in the Programme Agreement;
- Budget amount & period of implementation within limits, no more than one Project Proposal submitted under the same Call.



## A. Administrative Criteria (A.1 – A.6)

↗ Binary Grading: Each criterion receives a YES or NO value.

A.1	The Project Proposal and the Proposed Technical Annex of the Project have been submitted within the deadline determined in the Call (date and time).
A.2	The Proposed Technical Annex of the Project has been submitted in accordance with the rules of the Call and the rules of electronic submission (ANNEXES A.1. and A.2).
A.3	All required documents of the Project Proposal have been submitted (paragraph 2.2 of ANNEX 1).
A.4	Technical Annex of the Project (ANNEX A.3.).
A.5	Partnership Agreement for the realization of the proposed Project (ANNEX A.4.).
A.6	Documents for substantiating the capability of the Project Promoter/ Partnership (A.6.1 – A.6.7 of ANNEX 1).



## A. Administrative Criteria (A.7 – A.12)

↗ Binary Grading: Each criterion receives a YES or NO value.

A.7	Declaration of non generation of revenue (ANNEX A.6.).
A.8	Declaration of the non-double financing of the project from other funds (Structural Funds of the EE, Cohesion Fund, INTERREG, PIP, national resources, etc.) (ANNEX A.7.).
A.9	Legal Entity form (ANNEX A.8.).
A.10	Solemn Declaration of the legal representative of the Project Promoter that he/she will comply with national and EU rules.
A.11	Solemn Declaration of the legal representative of the Project Promoter that for the property on which the interventions are to implemented, the expenditure for additional static reinforcement – if any - is borne by the Project Promoter.
A.12	Solemn Declaration of the legal representative of the Project Promoter that if notified by Programme Operator CRES, he/she will provide within three (3) months all the required licensing and approvals for the realization of the Project, as well as the necessary tender documents.



## A. Administrative Criteria (A.13 – A.16)

↗ Binary Grading: Each criterion receives a YES or NO value.

A.13	Any other relevant decision of a competent collective body or supervisory authority that is required.
A.14	Declaration of the Project Promoter that the project proposal does not include a part of an infrastructure investment or a productive investment that has been ceased or relocated outside the programme area within five years of the final payment to the Project Promoter.
A.15	Certificates / Documentation Material regarding compliance with the State Aid institutional framework (income calculation methodology documents in case of State aid are enclosed in ANNEX A.9.) (A.15.1 – A.15.4)
A.16	Technical Data Sheet of the Project (ANNEX A.10.).



## B. Eligibility Criteria



B.1	Eligibility of the Project Promoter according to the provisions of Article 4 of the Call and Article 7.2 of the Regulation and any restrictions determined in the Programme Agreement.
B.2	Eligibility of the Project Partner according to the provisions of article 7.2 of the Management and Control System and any restrictions determined in the Programme Agreement.
B.3	Eligibility of the Project Proposal.
B.4	The proposal budget amount is within the limits determined in the Call.
B.5	The proposed period of implementation of the Project Proposal is within the limits determined in the Call.
B.6	No more than one Project Proposal has been submitted under the same call by a Project Promoter or Partner.
B.7	The proposed equipment to be applied in the public buildings and infrastructures is new or significantly improved.

## Stage B : Proposal's evaluation per group of criteria

- ✧ Upon successful completion of Evaluation Stage A ✓ → **Evaluation Stage B** begins!
- ✧ Project Proposals will be evaluated **by (at least) two Independent Experts**.
- ✧ A **Registry of Experts** and a Registry Management Team (RMT) will be established to ensure techno-economic thoroughness, credibility and transparency.
- ✧ The evaluation process is carried out by the Experts of the Registry at the facilities of CRES, under the supervision and planning of Programme Operator CRES.
- ✧ Each Proposal is evaluated in comparison with the others (Project Evaluation Sheet) based on the following the **Evaluation Criteria**:
- **Category A - Completeness, clarity and feasibility of the Proposal.**
  - **Category B - Compliance with national and EU rules.**
  - **Category C - Project maturity.**



## Category A - Completeness, clarity and feasibility of the Proposal

No.	Description	Grading Type	Weighting (scale 0-20)
<b>A1</b>	Project contribution in achieving the objectives of the Programme GR-Energy.	Binary	
<b>A2</b>	Project contribution in achieving the objectives of EEA FM 2014-2021.	Scale	10
<b>A3</b>	Realistic project implementation timetable.	Scale	20
<b>A4</b>	Project contribution in achieving the Indicators of the Programme GR-Energy related to the "hard" measures (such as EE-investments, RES, monitoring equipment, resulting savings).	Scale	20
<b>A5</b>	Project contribution in achieving the Indicators of the Programme GR-Energy related to the "soft" measures (such as awareness-raising, training).	Scale	10
<b>A6</b>	Comparative contribution to the innovation and needs for modern monitoring equipment.	Scale	10
<b>A7</b>	Comparative cost effectiveness of the Proposal.	Scale	20
<b>A8</b>	Comparative contribution to the benefits of citizens of the proposed target groups.	Scale	10

# Category A - Completeness, clarity and feasibility of the Proposal

↗ Criterion **A1** is **qualitative** and receives **binary values (YES / NO)**.

- The proposal gets a **positive** evaluation in this criterion when the value entered is “YES”.
- If the proposal gets a **negative** value (NO) in this criterion, it is *rejected*.

↗ The rest of criteria **A2-A8** represent **quantitative criteria** having **scale scores**.

↗ The grade  $B_A$  that a Proposal receives in relation to the criteria of Category A, is the sum of the values of the category A subcriteria:

$$B_A = (\text{Criterion value A2}) + (\text{Criterion value A3}) + (\text{Criterion value A4}) + (\text{Criterion value A5}) + (\text{Criterion value A6}) + (\text{Criterion value A7}) + (\text{Criterion value A8})$$



# Description of Evaluation Criteria Category A

## **A1 Project contribution in achieving the objectives of the Programme GR-Energy (BINARY)**

↗ whether the Project Proposal contributes to the fulfillment of the objective of the Call as set out in Article 3 of the Call, as well as of the 4 activity categories (interventions, metering equipment, communication actions and consulting services).

## **A2 Project contribution in achieving the objectives of EEA FM 2014-2021 (SCALE)**

↗ whether the Project serves the achievement of the objectives of the Programme EEA FM 2014-2021 and the GR-Energy Programme (Article 2 of the Call), namely:

- ✓ The reduction of the economic and social disparities within the European Economic Area.
- ✓ The optimal exploitation of resources through technically adequate, efficient and well-functioning administrative structures.
- ✓ Ensuring the quality of interventions, the effectiveness, efficiency and proper management of project implementation under the conditions of transparency and good governance.

## **A3 Realistic project implementation timetable (SCALE)**

↗ the feasibility of the project's implementation timetable examined in relation to the scope, the chosen method of implementation, the potential risks associated with the project implementation (e.g. specific local conditions).



# Description of Evaluation Criteria Category A

## **A4 Project contribution in achieving the Indicators of the Programme GR-Energy related to the measures (such as EE-investments, RES, monitoring equipment, resulting savings) (SCALE)**

- ✓ Estimated annual CO<sub>2</sub>-emissions reduction
- ✓ Estimated energy savings
- ✓ Estimated increase in RES production
- ✓ Estimated monetary savings
- ✓ Number of jobs created

## **A5 Project contribution in achieving the Indicators of the Programme GR-Energy related to the "soft" measures (such as awareness-raising, training) (SCALE)**

- ✓ No. of ppl who declare that they benefited from improved public social infrastructure
- ✓ Installed capacity for production of renewable energy
- ✓ No. of ppl trained in energy efficiency and renewable energy
- ✓ No. of awareness raising campaigns carried out
- ✓ No. of ppl reached by awareness campaigns
- ✓ No. of conferences/ workshops held related to results from projects



# Description of Evaluation Criteria Category A

## **A6 Comparative contribution to the innovation (SCALE)**

↗ The Proposal is examined in relation to the *innovation* character of the proposed implementations.

*(Innovation: Implementation of a new or significantly improved product (goods or services), or a process, a new marketing method, or a new organizational method in business practices, work place organization or an external relation. Innovation can be on enterprise level, sector level, national level or international level.)*

## **A7 Comparative cost effectiveness of the proposal (SCALE)**

↗ The criterion score is derived from the result of the following Indicator:

**Project Proposal Budget (€) / Estimated Total Energy Benefit (MWh)**

where the *Estimated Total Energy Benefit (MWh)* = *Annual electricity saving + Annual Electricity Generation from RES + Annual Thermal Energy Generation from RES + Annual Auto-production from RES with Net Metering.*

The Indicator estimates the *cost per estimates the cost per estimated total energy benefit (€/MWh).*



# Description of Evaluation Criteria Category A

## A8 Comparative contribution to the benefits of citizens of the proposed target groups (SCALE)

↗ The criterion score is derived from the estimation of the Social Benefit Indicator:

**Project Proposal Budget (€) / Number of Citizens who declare that they benefited by the improvement of the public social infrastructure**

The Indicator calculates the *cost for each citizen of the target groups having immediate benefits from the implementation of the RES / energy efficiency interventions in buildings, premises, facilities* (e.g. users, visitors, etc.), as documented in the Technical Data Sheet.



## Category B - Compliance with national and EU rules

- ✈ Group B criteria are **qualitative** and receive **binary values (YES / NO)**.
- ✈ The Project Proposal receives a positive evaluation in the Group B criteria when it receives a (YES) value in each of the Group B criteria (B1, B2 and B3).

No.	Description	Grading Type
<b>B1</b>	Compliance with national and EU legislation concerning public works contracts, studies, procurements and services, as well as State Aid.	Binary
<b>B2</b>	Compliance with the principles of gender equality, equal opportunities and non-discrimination in employment.	Binary
<b>B3</b>	Compliance with the rules for ensuring access for people with disabilities.	Binary

# Description of Evaluation Criteria Category B



## **B1 Compliance with national and EU legislation concerning public works contracts, studies, procurements and services, as well as State Aid (BINARY)**

- ✘ whether the Project Promoter has complied with national and EU legislation by the time of the Proposal submission (e.g. at the stage of auction, contracting and possible amendments of the project contract) and in particular, the compliance with competition and State Aid rules.
- ✘ whether the institutional framework proposed in the Technical Annex of the Project for the implementation of the subprojects is in line with national and EU law.

## **B2 Compliance with the principles of gender equality, equal opportunities and non-discrimination in employment (BINARY)**

- ✘ Compliance / Commitment of the Project Promoter and its contractors for the implementation and operation of the project applying a regulatory framework that is not contrary to the principles of gender equality and non-discrimination on grounds of sex, race or ethnic origin, religion or beliefs, disability, age or sexual orientation.

# Description of Evaluation Criteria Category B



## B3 Compliance with the rules for ensuring access for people with disabilities (BINARY)

- ✘ The way in which the project will ensure access for people with disabilities in accordance with the current institutional framework is examined.
- ✘ Checklists of the management and control system for the approval of the development stages of the project's public procurements.
- ✘ The affirmative answer ("YES") covers the following cases:
  - The Project meets all requirements, in accordance with the current institutional framework, for ensuring access for people with disabilities.
  - There are no requirements for ensuring access for people with disabilities, taking into account the nature of the project, which does not impede access for people with disabilities (e.g. pavement carpet reconstruction etc.) or does not require access for people with disabilities (e.g. programmes such as "Energy Saving at home").

## Category C - Project maturity

↗ Group C criteria are **qualitative** and receive **binary values (YES / NO)**.

No.	Description	Grading Type
C1	Description and documentation of the required licensing process of the proposed project (studies, surveys, licenses, approvals, tender documents, etc.).	Binary
C2	Operation plan of the Proposed Project.	Binary



# Description of Evaluation Criteria Category C

## **C1 Description and documentation of the required licensing process of the proposed project (BINARY)**

- ✎ Based on the Technical Data Sheet (ANNEX A.10), the maturity of the Proposal in terms of the development of the required preparatory actions (studies, surveys, licenses, approvals, tender documents, etc.) for the start of implementation, is examined.
- ✎ In addition, the progress of specific administrative or other actions that are necessary for the implementation of the project proposal, other than those considered under the above criterion (e.g. approval by councils, etc.).

## **C2 Operation plan of the proposed project (BINARY)**

- ✎ The Project Promoter should ensure (prior to completion of the project) that a sufficiently adequate structure or service is in place for the smooth operation of the project at least 5 years after the date of project completion and start of its operation. This commitment should be included in the project contract (Decision of Project Inclusion).
- ✎ The overall score of each sentence is as follows:  $B_{o\lambda} = B_A$





# Evaluation Result

- ✈ The **Provisional Ranking Table** of evaluated Project Proposals is submitted to the Executive Selection Team to conduct the *review of projects regarding compliance with competition and State aid regulations*.
- ✈ Proposals are *classified in descending order* on the Table based on their score and are characterized as **positive (accepted)** or **negative (not accepted)** as follows:

Criteria	Positive	Negative
A1, B1-3 and C1-2	Positive value “YES”	Negative value “NO” (in at least one criterion)
A2-A8	Score of at least 10 in each of the criteria A3, A4, A6 and a score of at least 5 in each of the criteria A2, A5, A7, A8.	Score of less than 10 in each of the criteria A3, A4, A6 and a score of less than 5 in each of the criteria A2, A5, A7, A8.

תודה  
Dankie Gracias  
Спасибо شكراً  
Merci Takk  
Köszönjük Terima kasih  
Grazie Dziękujemy Děkojame  
Ďakujeme Vielen Dank Paldies  
Kiitos Täname teid 谢谢  
**Thank You** Tak  
感謝您 Obrigado Teşekkür Ederiz  
Σας ευχαριστούμε 감사합니다  
ඔබට  
Bedankt Děkujeme vám  
ありがとうございます  
Tack